

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***In re* Application of:

Gregory T. Whiteker, et al.

Serial No.: 09/932,910

Filed: August 20, 2001

Title: Olefin Polymerization Catalysts,  
Their Production and Use

§ BEFORE THE EXAMINER:

§ James W. Pasterczyk

§ Group Art Unit No.: 1755

§ Attorney Docket No.: 1998U020A.D1.US

§ Houston, Texas

§ June 24, 2003

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

**RESPONSE UNDER 37 C.F.R. § 1.111**

Sir:

In response to the Office Action mailed February 26, 2003, Applicants offer the following claim clarifications and remarks.

1998U020.D1.US.111.06.24.03

- 1 -

BEST AVAILABLE COPY

6/16  
6/25/03  
JUN 25 2003  
GROUP 1700  
FAX RECEIVED

OFFICIAL

05/24/2003 17:05 7137800044

SOUTHWEST PATENT SVS

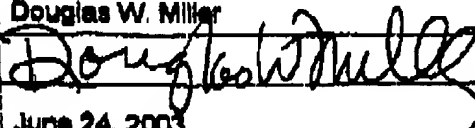

PAGE 04  
P. 01

JUN-24-03 03:35 PM DOROTHY WILLIAMS

2819 81398

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number		09/932,910	
		Filing Date		August 20, 2001	
		First Named Inventor		Gregory T. Whitaker, et al.	
		Group Art Unit		1758	
		Examiner Name		James W. Pasterczyk	
Total Number of Pages in This Submission		18	Attorney Docket Number		1998U020A.D1.US
ENCLOSURES (check all that apply)					
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Part/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.62 or 1.63		<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition To Convert a Provisional Application <input type="checkbox"/> Power of Attorney Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CO. Number of CO(s) _____		<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): PTO-2035 (Credit Card Payment Form)	
REMARKS					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Or Individual name Signature Date	Douglas W. Miller  June 24, 2003		Registration No. 38,608		
CERTIFICATE OF FACSIMILE TRANSMISSION					
I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office on this date:					
<div style="text-align: right;">June 24, 2003</div>					
Typed or printed name		Dorothy Williams			
Signature				Date June 24, 2003	

FAX RECEIVED  
JUN 25 2003

06/24/2003 17:05 7137808044

SOUTHWEST PATENT SVS

PAGE 05

JUN-24- 3 05: 6 PM DOROTHY WILLIAMS

2819981398

P.02

BEST AVAILABLE COPY

PTDASH7 (01-03)  
Approved for use through 04/30/2003. Only 001-0002  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person shall be required to provide information unless it displays a valid Patent and Trademark Office number.

## FEE TRANSMITTAL for FY 2003

*Effective 01/01/2003, Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (b) 110.00

**Complete if Known**

Application Number	09/932,810
Filing Date	AUGUST 20, 2001
First Named Inventor	Gregory T. Whitaker, et al.
Examiner Name	James W. Pasterczyk
Art Unit	1755
Assignee Desk No.	1998U020A.01.US

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Amount:

☐ The Commissioner is authorized to (check all that apply)  
☐ Charge (es) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge (es) indicated below, except for the filing fee  
☒ No above-indicated deposit amount.

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description	Fee Paid
1001 750	5001 375	Utility filing fee	
1002 320	5002 160	Design filing fee	
1003 850	5003 365	Plant filing fee	
1004 750	5004 375	Reissue filing fee	
1005 180	5005 90	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>			

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims  -30- =  X  =   
 Independent Claims  -3- =  X  =   
 Multiple Dependent

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description	Fee Paid
1202 14	5202 7	Claims in excess of 20	
1301 84	5301 42	Independent claims in excess of 5	
1303 280	5303 140	Multiple dependent claims, if not paid	
1304 84	5304 42	Multiple independent claims over original patent	
1305 18	5305 9	Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2) (\$)</b>			

**3. ADDITIONAL FEES**

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description	Fee Paid
1001 130	5001 65	Surcharge - late filing fee or oath	
1002 85	5002 42	Surcharge - late provisional filing fee or cover sheet	
1003 130	5003 65	Non-English specification	
1012 2,520	5012 1,260	For filing a request for an extra examination	
1004 950	5004 475	Requesting publication of AIR prior to examination	
1005 1,240	5005 620	Requesting publication of AIR after examination	
1001 130	5001 65	Extension for reply within first month	110.00
1002 410	5002 205	Extension for reply within second month	
1003 930	5003 465	Extension for reply within third month	
1004 1,400	5004 700	Extension for reply within fourth month	
1005 1,970	5005 985	Extension for reply within fifth month	
1401 330	5401 165	Notice of Appeal	
1402 320	5402 160	Filing a brief in support of an appeal	
1403 280	5403 140	Request for oral hearing	
1401 1,510	5401 755	Petition to institute a public use proceeding	
1402 110	5402 55	Petition to revive - unavoidable	
1403 1,300	5403 650	Petition to revive - unintentional	
1501 1,700	5501 850	Utility issue fee (or reissue)	
1502 470	5502 235	Design issue fee	
1503 690	5503 345	Plant issue fee	
1400 130	5400 65	Petitions to the Commissioner	
1607 80	5607 40	Processing fee under 37 CFR 1.17(a)	
1608 180	5608 90	Submission of information disclosure sheet	
2021 40	5021 20	Recording each patent assignment per property (series number of properties)	
1809 750	5809 375	Filing a submission after final rejection (37 CFR 1.180(a))	
1810 750	5810 375	For each additional invention to be examined (37 CFR 1.180(b))	
1801 750	5801 375	Request for Continued Examination (RCE)	
1900 950	5900 475	Request for expedited examination of a design application	
<b>SUBTOTAL (3) (\$)</b>			110.00

Other fee (specify):   
 \*Produced by Small Filing Fee Paid

**SUBTOTAL (4) (\$)** 110.00

**RECEIVED BY** Dorothy Williams **Registration No.** 38,608 **Telephone** 713-780-7790

**SIGNATURE** Dorothy Williams **Date**

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-5998.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the patent which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 152 and 37 CFR 1.14. This collection is estimated to take 15 minutes to complete, including gathering, entering, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments as the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20501. DO NOT SEND FEES ON COMPLETED FORMS TO THIS ADDRESS. Send to: Commissioner for Patents, Washington, DC 20501.

If you need assistance in completing the form, call 1-800-PTO-6100 (1-800-786-6100) and select option 3.

GROUP 1700

JUN 25 2003

FAX RECEIVED

06/24/2003 17:05 7137800044

SOUTHWEST PATENT SVS

PAGE 03

JUN-2 -03 05:00 PM DOROTHY WILLIAMS

2819981398

P. 04

PTO-2038 (02-2003)

Approved for use through 02/28/2006. OMB 0651-0043

United States Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## United States Patent &amp; Trademark Office

## Credit Card Payment Form

Please Read Instructions before Completing this Form

## Credit Card Information

Credit Card Type: ☐ Visa ☐ MasterCard ☒ American Express ☐ Discover

Credit Card Account #: 292710929091005

Credit Card Expiration Date: 08/06

Name as it Appears on Credit Card: Douglas W. Miller

Payment Amount \$(US Dollars): \$110.00

Signature: *Douglas W. Miller*

Date: 6/24/03

**Refund Policy:** The Office may refund a fee paid by mistake or in excess of that required. A charge of purposes after the payment of a fee will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payer of such amounts (37 CFR 1.24). Refund of a fee paid by credit card will be via credit to the credit card account.

**Service Charge:** There is a \$0.00 service charge for processing each payment received (including a check returned "unpaid") or charged back by a financial institution (37 CFR 1.31(c)).

## Credit and Billing Address

Street Address 1: 510 Seering Drive

Street Address 2: Suite 300

City: Houston

State: Texas

Zip/Postal Code: 77057

Country: USA

Daytime Phone #: 713-780-7799

Fax #: 713-780-0044

## Request and Payment Information

Description of Request and Payment Information:

Extension for reply within fourth month

Patent Fee	Patent Maintenance Fee	Trademark Fee	Other Fee
Application No. 09/932,910	Application No.	Serial No.	USON Customer No.
Patent No.	Patent No.	Registration No.	
Attorney/Agent No. 1998U020A.D1.US		Identify or Describe Mark	

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

BEST AVAILABLE COPY